

APPLICATION TO OPEN BONDBLOX ACCOUNT

I/We, _____, NRIC/ Passport No./ Company Regn No.: _____ wish to open a BondbloX account(s) in my/our name with UOB Kay Hian Private Limited ("UOBKH") for trading in BondbloX Bond Exchange. Please tick only one as per following box;

- ☐ **New Client (ONLY Applicable to Corporate Account)** OR
☐ **Existing Client** of UOBKH [Existing Trading Account No.: _____]

Tax Residence Information (Mandatory for Existing Client only) (For Corporate Accounts, please submit UOBKH CRS Entity and Controlling Person Tax Residency Self-Certification Forms.) If a TIN is unavailable, please provide the appropriate reason A, B or C where indicated below:

Reason A - I am a resident of a country/jurisdiction which does not issue TINs to its residents.

Reason B - I am otherwise unable to obtain a TIN or equivalent number (*Please explain why you are unable to obtain a TIN below*)

Reason C - No TIN is required. (Note: To be selected only if the law of the tax residence country does not require TIN to be collected.)

Country/Jurisdiction of Tax Residence	Tax Identification No.	If no TIN available, indicate Reason A, B, or C	Explanation for Reason B

If you are a US tax resident, please provide us with a completed and signed Form W-9.

Please note that the information contained in this form and information relating to your UOBKH account(s) may be provided to the Singapore tax authority and exchanged with tax authorities of other countries pursuant to intergovernmental, bilateral or multilateral competent authority agreements to exchange financial account information.

Please note that any amounts that is due to you including but not limited to sale proceeds will be deposited into the trust account and any amount owing by you will be withdrawn from your trust account for settlement of whatever money due to UOBKH including but not limited to purchase of BondbloX in the settlement currency indicated on the contract notes/advices from UOBKH.

Online access will be provided for this BondbloX Trading Account and you will only be able to view pricing on BondbloX Bond Exchange and you will receive physical copies of the monthly Statements. Access to trade online will be provided at a later date.

DECLARATION

- I/We hereby confirm that there is no change to my/our personal information or to the particulars in my/ our entity account in the records with UOB Kay Hian and will immediately notify UOB Kay Hian if there are any changes.
- I/We declare that I am an Accredited Investor as defined in Section 4A of the Securities and Futures Act (Cap 289).
- I/We hereby agree to provide any additional information or documentation for the above trading account opening as and when requested by UOB Kay Hian.
- I/We have read, understood, accepted and agreed to the prevailing terms and conditions set out in the latest versions of the the UOBKH Master Trading Agreement (printed copy can be made available on request); Terms and Conditions and Risk Disclosure Statement Applicable to Trading in BondbloXs; the Guide and Cautionary Notes in Applying For/Continuing with an Account with UOB Kay Hian Private Limited ("Guide") and the Risk Disclosure Statements for the respective financial product(s) I/We intend to trade/invest in, all of which as may be varied or supplemented from time to time (copies of which are available on <http://www.ustrade.com.sg>) and which shall be fully binding upon and enforceable against me.

Dated this _____ day of _____ 20____

For Individual / Joint Alternate Account

Signature : _____
Name of Main Account Holder:
NRIC/Passport No.:

Signature: _____
Name of Joint Account Holder:
NRIC/Passport No.

For Corporate Account (Please affix company's stamp)

Signature : _____
Name of Authorised Signatory:
Designation:
Company Regn No.:

Signature: _____
Name of Authorised Signatory:
Designation:
Company Regn No.:

Acknowledged By TR-UOBKH
TR Name / Code:
Date:

Application witnessed / signature verified by:
Name:
Date: